

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Van Harlow et al.                      Art Unit :  
Serial No. :    Examiner :  
Filed : November 13, 2003  
Title : MANAGING AN INVESTMENT PORTFOLIO

Commissioner for Patents  
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Alexandria, VA 22313-1450

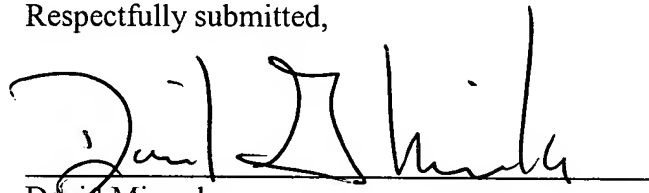
INFORMATION DISCLOSURE STATEMENT

Applicant submits the references listed on the attached form PTO-1449.

This statement is being filed with the application. Accordingly, only copies of foreign patent documents and non-patent literature are enclosed. Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: 11/13/03

  
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Substitute Form PTO-1449 (Modified)  <b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary)  (37 CFR §1.98(b))	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. <b>08575-076001</b>	Application No.
	Applicant <b>Van Harlow et al.</b>		
	Filing Date <b>November 13, 2003</b>	Group Art Unit	

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	09/711,736		Michael J. Boucher et al.			11/13/2000
	AB						

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AC							

Other Documents (include Author, Title, Date, and Place of Publication)		
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EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	